# U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

# **Application and Permit for Importation of Firearms, Ammunition and Defense Articles**

Not fo	or use by Members of the U	Inited Sta	tes Armed	Forces								
	TF Use Only	mica sta	ics i i i i i i i i i i i i i i i i i i	101003.		For	Applicant's O	ptional Use				
Permi	No. (Valid for 24 months f	from the da	ite appearii	ng in Item	19 below.) N	Inte	rnal Control/Re	eference #		E-1	mail Address (Option	al)
~ .	~											
Sectio	n I - Application (Submit		e) - For Ap ns License		se	10	Talanhona N	o. (Including 3.	Country	of Evnorte	otion	
Licens	e No. (x-xx-xxx-xx-xx-xxxxx		is Licelise	Expiratio	n Date		Extension No		Country	or Exporte	ition	
	,	,										
4. N	ame and Address of Custom	ns Broker (	Including I	Zip Code)			5. Applicant	t's Name and Ad	dress (Inclu	ding Zip C	Code)	
C	heck here if permit is to be i	returned to	Customs I	Broker.			Check he	re if permit is to	be returned	to applica	nt.	
6. N	ame and Address of Foreign	Seller, if	any				7. Name and	d Address of For	eign Shipper	•		
8. Des	cription of Firearms and An	nmunition	(For firear	ms, enter (	SG)-Shotgun	; (RI)-Rifle;	(PI)-Pistol; (RE	E)-Revolver; (DL	)-Destructiv	e Device;	(MG)-Machinegun)	
N	ame and Address of Manufa City and State	icturer	Type (Frame,	Caliber	Quantity	Unit	U.S. Muni-	Model	Length of	Overall		New (N)
	or		Receiver;	Gauge or Size	(Each type)	Cost (U.S. Currency)	tions Import List	(required)	Barrel	Length (Inches)		or Used (U)
	City and Country		SG, RI, PI, RE,DD,MG)	01 5120			Category		(Inches)	l ` ´		
	a.		b.	c.	d.	e.	f.	g.	h.	i.	j.	k.
Firearms												
Fire												
	See Attachment											
								Description	1	1		
Defense Articles												
suse									on of Origin.	The item	s sought for importat	ion
Defe								in block 8: a. Do not con	tain parts or	compone	nts produced by or	
	See Attachment							for the U.S	. military a	nd do not o	contain parts or com-	_
		Core	(Ball					data or assi		with U.S.	military technical	
		Material						b. Contain par	rts or compo		duced by or for the	
Ammunition		(Lead, Steel	cutter, Shot, AP,								ents manufactured or assistance.	
mnu		etc.)	Tracer) (Rounds)					c. Contain par	ts or compo	nents prod	duced by or for the	
Am			(Kounus)								nufactured with U.S. vere sold abroad	
	C A 441 4									mmercial	Sale licensed by the	
10. S	See Attachment pecific Purpose of Importation	on. Includi	ng Final R	ecinient. If	Known (Us	e additional .	sheets if necess	Departmen	t of State.			
							-					
	re You Registered as an Imp ol Act of 1976				ort	12. If "Yes	" Give Importe	r's Registration l	No. and Exp	iration Da	te $(A-xx-xxx-xxxx)$	
	the penalties provided by la			No	d this applie	ation includ	ing the docume	nte cubmitted in	support of it	and to th	na hast of my knowla	dge and
	it is true, correct, and comp		e mai i nav	ve examine	d tills applie	ation, meiuu	ing the documen	nts submitted in	support of it	, and, to ti	ic best of my knowled	uge and
13. N	ame of Applicant (Printed)		1-	4. Signatu	re of Applica	ant		15. Title			16. Date	
G	и г тен от с	701	<i>l</i>	: 1 •	4: \							
	n II - For ATF Use Only (A he Application Has Been Ex					Ammunition	n, and Defense	Articles Describe	ed Herein is:			
	pproved	iou ui			for the Reaso			med Without Actio			ConditionallyApprov	red
	artially Approved for the Re				tached Lette y Applicant \			mation Permit Required				Ш
	ignature of the Director, Bu						UII INO F	Cimii Kequiled			19. Date	
5			-, 1000	, - 11-41	<i></i> p1							

# Firearms (Continued)

Firearms (Continued)										
Name and Address of Manufacturer City and State or City and Country	Type (Frame, Receiver, SG, RI, PI, RE,DD,MG)	Caliber Gauge or Size	Quantity (Each type)	Unit Cost (U.S. Currency)	U.S. Munitions Import List Category	Model (required)	Length of Barrel (Inches)	Overall Length (Inches)	Serial No.	New (N) or Used (U)
a.	b.	c.	d.	e.	f.	g.	h.	i.	j.	k.
									ATF Form 5330.3A	4 (6 part 1)

# **Defense Articles (Continued)**

Name and Address of Manufacturer City and State or	Description	Caliber or Gauge or Size	Quantity (Each type)	Unit Cost (U.S. Currency)	U.S. Munitions Import List Category
City and Country			_		
a.	b.	c.	d.	e.	f.
		<u> </u>	<u> </u>	Δ'	   TF Form 5330.3A (6 part 1)

# Ammunition (Continued)

Name and Address of Manufacturer City and State or City and Country a.  Core Material (Lead, Steel etc.)  b.	Description lcutter, Shot, AP, Tracer (rounds)  c.	Caliber or Gauge or Size d.	Quantity (Each type) e.	Unit Cost (U.S. Currency)  f.	U.S. Munitions Import List Category g.
City and Country			е.	f.	
a. b.	C.	d.	е.	f.	g.
			ı		
		i			
					TF Form 5330.3A (6 part 1)

# Instruction Sheet for ATF Form 6 Part I (5330.3A)

(Submit in triplicate) (Detach this instruction sheet before submitting your application)

# **Paperwork Reduction Act Notice**

This request is in accordance with the Paperwork Reduction Act of 1995. The purpose of this information collection is to allow ATF to determine if the article(s) described on the application qualifies for importation by the importer, and to serve as the authorization for the importer. This information is mandatory (18 U.S.C. 925(d), 26 U.S.C. 5844, 22 U.S.C. 2778).

The estimated average burden associated with this collection of information is 30 minutes per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to Reports Management Officer, Document Services, Bureau of Alcohol, Tobacco, Firearms and Explosives, Washington, DC 20226

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Confidentiality is not assured.

#### General Information

- An approved ATF Form 6 Part I (5330.3A) is required to import firearms, ammunition, and defense articles into the United States or any possession thereof, except for certain exempt importations prescribed in 27 CFR Parts 447, 478 and 479. A military member of the U.S. Armed Forces who is on active duty outside the U.S., or who has been on active duty outside the U.S. during the 60-day period immediately preceding the intended importation, should complete ATF Form 6 - Part II (5330.3B) to import sporting type firearms or ammunition for his personal use.
- 2. Any person engaged in the business of importing firearms or ammunition for resale must be licensed as an importer under the Gun Control Act of 1968 and, if he is importing firearms, ammunition, firearms parts or defense articles (other than sporting shotguns, shotgun shells, or shotgun parts) he must also be registered as an importer under the Arms Export Control Act of 1976. No permit to import such articles for resale will be issued until the importer is properly licensed and registered.
- 3. A Federal firearms licensee, other than an importer, may make an occasional importation of sporting firearms or ammunition (excluding surplus military) for himself or an unlicensed person in the licensee's State, provided that the firearms and ammunition are intended for personal use of the person for whom imported and not for resale. ATF Form 6 Part I (5330.3A) is used to obtain approval for such importation.
- 4. A permit is not required for a firearm or ammunition brought into the United States or any possession thereof by any person who can establish to the satisfaction of U.S. Customs and Border Protection (CBP) that such firearm or ammunition was previously taken out of the United States or any possession thereof by such person.
- A permit is not required for the return of a repaired firearm, or replacement firearm of the same kind and type, to the person in the United States who sent the defective firearm out of the United States for repair.
- 6. If you are a nonimmigrant alien, do not complete this form. A nonimmigrant alien entering the U.S. temporarily needs to submit an ATF Form 6NIA (5330.3D) (Application and Permit for Temporary Importation of Firearms and Ammunition by Nonimmigrant Aliens) to temporarily import his personally owned firearms.
- 7. An unlicensed person may obtain a permit to import sporting type ammunition (excluding tracer or incendiary) and firearm parts (other than frames, receivers, or actions) without engaging the services of a Federal firearms licensee, provided that the importation is for his personal use and not for resale.
- 8. A nonresident U.S. citizen returning to the United States or a nonresident alien immigrating to the United States, from a permanent residence outside of the United States, may complete and forward the enclosed ATF Form 6 Part I permit application without having to utilize the services of a federally licensed firearms dealer. The nonresident should include a statement, either on the application form or on an attached sheet, that the firearms are being imported for his personal use and not for resale, the date of return and that he is a nonresident U.S. citizen returning to the United States, or is a nonresident alien

immigrating to the United States. The firearms must accompany the nonresident U.S. citizen or nonimmigrant alien immigrating to the United States on entry into the United States, since once he is in the United States, and has acquired residence in a State, he may not directly import a firearm. He must engage the services of a federally licensed firearms dealer in his State of residence to import the sporting firearms (excluding NFA and surplus military) for him.

- 9. Under Arms Export Control Act of 1976 regulations in 27 CFR 447.41(c), a permit is not required for the importation of:
  - a. U.S. Munitions Import List articles from Canada not subject to 27 CFR Part 478 and 479, except articles enumerated in Categories I, II, III, IV, VI(e), VIII(a), XVI, and XX; and nuclear weapons, strategic delivery systems, and all specifically designed components, parts, accessories, attachments, and associated equipment thereof.
  - b. Minor components and parts of Category I(a) firearms, except barrels, cylinders, receivers (frames) or complete breech mechanisms, when the total value does not exceed \$100 wholesale in any single transaction.

## Preparation

- 10. The applicant shall prepare this form in triplicate. Required signatures must be in ink on all copies. Other entries must be in ink or be typewritten.
- 11. The application should be submitted approximately 60 days prior to the intended importation. All copies shall be submitted to:

Director
Bureau of Alcohol, Tobacco, Firearms, and Explosives
244 Needy Road
Martinsburg, WV 25405
Attention: Firearms and Explosives Imports Branch

- 12. Any questions concerning the application should be referred to the Imports Branch at the above address or telephone (304) 616-4550.
- 13. If a licensee is applying to import an article for subsequent transfer to a known final recipient (e.g., an individual, commercial entity, or government agency), the licensee must complete items 1 through 16, and identify the final recipient by name and address in item 10.
- 14. Item 9, Certification of Origin: The purpose of this certification is to determine whether items sought for importation require retransfer authorization from the Department of State. Applicants should check block 9a if the articles sought for importation were produced for the civilian market and were not associated with the U.S. military. Applicants should check block 9b if the articles sought for importation contain parts or components produced by or for the U.S. military or manufactured with U.S. military technical data or assistance, and the articles were provided by the U.S. government to a foreign government through a grant or foreign military sales program. Applicants should check block 9c if the articles sought for importation contain parts or components produced by or for the U.S. military or manufactured with U.S. military technical data or assistance, and the articles were sold abroad pursuant to an export license issued by the Department of State authorizing a Direct Commercial Sale (DCS). NOTE: If block 9b is checked, a written retransfer authorization from the Department of State must be attached to the application or the applications will be denied. NOTE: If block 9c is checked, either a copy of the export license authorizing the DCS or a written retransfer authorization from the Department of State must be attached to the application or the application will be denied.

# Approval

15. The Director will approve the application or advise the applicant of the reason for the disapproval. In some cases it may be necessary to request additional information or to have the firearm or ammunition sent to ATF for examination to determine the import status.

- 16. The permit is valid for 24 months from the date of approval. The approved a application will serve as the permit to import the article(s) described on the form.
- 17. After approval, the Director will retain one copy and forward the original to the applicant or his designated agent, along with copies of ATF Form 6A, Release and Receipt of Imported Firearms, Ammunition and Implements of War

#### **Release From Customs**

- 18. No amendments or alterations may be made to an approved permit, except by the Director.
- An approved ATF Form 6 Part I (5330.3A) which is unused, expired, suspended or revoked shall be returned immediately to the Director, Bureau of ATF, 244 Needy Road, Martinsburg, WV 25405 Attention: Firearms and Explosives Imports Branch.
- 20. For non-commerical imports, the ATF Form 6A, in duplicate with Section I completed, the approved permit, and any other necessary documents, must be presented to CBP officials handling the importation to effect release of the articles.

### Prohibited Persons Under U.S. Law

21. The importer of a firearm should be familiar with the provisions of law governing who may lawfully possess a firearm in the United States. Generally,18 U.S.C. 922 prohibits the shipment, transportation, receipt, or possession in or affecting interstate commerce of a firearm by one who has been convicted of a crime punishable by imprisonment for a term exceeding one year; by one who is a fugitive from justice; by one who is an unlawful user of, or addicted to, marijuana, or any depressant, stimulant, or narcotic drug, or any other controlled substance; by one who has been adjudicated mentally defective or has been committed to a mental institution; by one who has been discharged from the Armed Forces under dishonorable conditions; by one who has renounced his or her U.S. citizenship; by one who is an alien illegally in the United States or is a non-immigrant alien admitted to the United

- States under a visa; by one who is subject to certain restraining orders; or by one who has been convicted of a misdemeanor crime of domestic violence. Furthermore, section 922 prohibits the shipment, transportation, or receipt in or affecting interstate commerce of a firearm by one who is under indictment or information for a crime punishable by imprisonment for a term exceeding one year.
- 22. For commerical imports, the CBP copy of the form 6A will be transmitted to CBP electronically through the Automated Commerical Environment (ACE). For more information on how to use ACE, visit the Trade Resoure Center at www.itds.gov or contact a CBP client representative at 1-571-468-5500.
- 23. Licensed importers and AECA registrants are still required to file their copy of the form 6A with ATF within 15 days of release from CBP custody. This copy will have Section I and III completed. Section II is not required.

#### **Forms**

24. Federal firearms licensees must retain this form as part of their ATF required records permanently as prescribed by 27 CFR 478.129(d). Importers requistered under the Arms Export Control Act who do not also hold a Federal firearms license must retain this form as part of their ATF required records for at least the 6-year period prescribed by 27 CFR 44.34(b).

Additional Forms are available from:

ATF Distribution Center 1519 Cabin Branch Drive Landover, MD 20785-3816

Or by accessing the ATF website at http://www.atf.gov

# **Privacy Act Information**

The following information is provided pursuant to Section 3 of the Privacy Act of 1974 (5 U.S.C. § 552(a)(e)(3)).

- 1. **Authority.** Disclosure of the information requested on ATF Form 6 Part I (5330.3A) is mandatory pursuant to 18 U.S.C. 925 and Section 38 of the Arms Export Control Act of 1976 (22 U.S.C. 2778, 26 U.S.C. 5844) to obtain a permit to import firearms, ammunition, and implements of war.
- 2. **Purpose.** To determine if the article(s) qualifies for importation by the applicant.
- 3. Routine Uses. The information will be used by ATF to make determinations set forth in paragraph 2. In addition, information may be disclosed to other Federal, State, foreign and local law enforcement and regulatory agency personnel to verify information on the application and to aid in the performance of their duties with respect to the enforcement and regulation of firearms and/or ammunition where such disclosure is not prohibited by law. The information may further be disclosed to the Justice Department if it appears that the furnishing of false information may constitute a violation of Federal law. Finally, the information may be disclosed to members of the public in order to verify the information on the application when such disclosure is not prohibited by law.
- 4. Effects. Failure to supply complete information will delay processing and may cause denial of the application.